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## INTEREST DISCLOSURE STATEMENT

City of Boulder Form 13-2-3, BRC, 1981

Candidate

On or before Sept. 10

Incumbent

Annual Disclosures:

April 15 with changes

April 15 no changes since last filing

Quarterly Updates (only if changes)

January 15

July 15

October 15

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Print Full Legal Name

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Address

City: <b>Boulder</b>	State: <b>CO</b>	Zip
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The information contained in this filing is true and correct and in accordance with 13-2-3 and 13-2-4, BRC, 1981 to the best of my knowledge.

<i>Aaron Brockett</i>	
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Signature of Candidate or Incumbent

Date Submitted

### EMPLOYER

- If you are self-employed, or employed as a partner in a professional firm, so state, and state trade or business names you use and the name of the professional firm you work with.
- If you have more than one job during the present calendar year, state all your employers.
- If you are not employed outside the home, so state.
- If you have no employment, so state.

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### OCCUPATION

State your occupation.

- If retired or unemployed, state your occupation when you were working.

- If you are a student or not employed outside the home, so state.
- If you have more than one occupation, state all of them.
- If you have a profession or skill which you have used in the past, but are not now using in your job, you may state that additionally.

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### SOURCE OF INCOME

State the type and source of any other income in excess of one thousand dollars during the twelve months preceding the date of filing. You do not need to include an employer identified in the preceding section. Each source of income must be listed, regardless of its tax status. Sources must be listed specifically; that is, *stocks* would be insufficient; you must state *stock in XYZ Company*. The type of income can be stated generally; *capital gain, dividend, rent, other household, retirement accounts*, etc. You are not required to disclose the amount of income from any source, but you may if you wish. If you are self-employed state the source of any other income in excess of one thousand dollars that you received during the twelve months preceding the date of filing. **Note:** Do not disclose the names of clients or others to whom you have a legal duty of confidentiality without their permission. A legal duty of confidentiality is one in which you could invoke the right to refuse to answer a question under oath in a trial, like a lawyer-client privilege.

Source	Type

### BUSINESS INTERESTS

State the name, location, and nature of activity of any business entities or enterprises, with holdings of real or personal property or with business dealings in the area encompassed by the Boulder Valley Comprehensive Plan (BVCP), in which you or your household member have any financial interest, or in which you or your household member are actively engaged as an officer, director, or partner. You need not disclose a financial interest in any business entity in which you or your household member's only interest is through an investment in an excepted investment. A charitable donation is not a financial interest.

Excepted investment means a mutual fund, common trust fund of a bank, pension, or deferred compensation plan, any other investment fund, or a ten percent or less interest in the stock of a company, which for funds or stocks is widely held; publicly traded (or

available) or widely diversified; and which is for funds under circumstances where the investor neither exercises control over nor has the ability to exercise control over the financial interests held by the fund. A fund is widely diversified when it holds no more than five percent of the value of its portfolio in the securities of any one issuer (other than the U.S. Government.)

For each, state the nature of your interest or activity therewith, and state whether you or your household member have controlling interest in the entity. *Business entity* means any type of business: sole proprietorship, joint venture, partnership, corporation, trust holding or investment company, professional corporation, etc. It is immaterial whether the business is making money. Also, note that the business must be listed if it has any property, or any business dealings, within the BVCP, but that those holding and dealings do not have to be set forth (real estate holdings of businesses in which you or your household member have a controlling interest must be disclosed in the next question, however). The nature of your or your household member's involvement should be stated with a degree of specificity; that is, state *corporate vice president* rather than simply *officer*. The amount of financial involvement need not be disclosed, but you may if you wish.

Organization's Name			Nature of Activity of Business	Controlling Interest  <input type="checkbox"/> YES <input type="checkbox"/> NO
Address				
City	State	Zip	Nature of Your Interest in Business	
Organization's Name			Nature of Activity of Business	Controlling Interest  <input type="checkbox"/> YES <input type="checkbox"/> NO
Address				
City	State	Zip	Nature of Your Interest in Business	
Organization's Name			Nature of Activity of Business	Controlling Interest  <input type="checkbox"/> YES <input type="checkbox"/> NO
Address				
City	State	Zip	Nature of Your Interest in Business	

## REAL ESTATE INTERESTS

State the location of any real property within Boulder County in which you or your household member, or the business entities you or your household member have a reportable interest in, have any interest. Also state the nature of your, your household member, or your business entity's interest. All interests, including options, remainders, mineral rights, etc., no matter what their value, must be listed. You need not state the value of the interest, but you may do so if you wish.

**Note:** If there is no street address, state the lot number and subdivision. If none, state the size of the parcel, the quarter section it is in, and the recording number of other place where the legal description can be found.

Owner's Name			<b>INTEREST OWNED BY</b> <input type="checkbox"/> Self <input type="checkbox"/> A trust of which I am a beneficiary <input type="checkbox"/> A business entity I control/manage
Street Address			
City	State	Zip	
Owner's Name			<b>INTEREST OWNED BY</b> <input type="checkbox"/> Self <input type="checkbox"/> A trust of which I am a beneficiary <input type="checkbox"/> A business entity I control/manage
Street Address			
City	State	Zip	
Owner's Name			<b>INTEREST OWNED BY</b> <input type="checkbox"/> Self <input type="checkbox"/> A trust of which I am a beneficiary <input type="checkbox"/> A business entity I control/manage
Street Address			
City	State	Zip	

### OTHER INFORMATION

State any other information which you feel would be helpful or should be disclosed. If there is no such information, write ***none***.

☐ I have attached additional sheets for information that exceeded the space provided on this form.

\_\_\_\_ Number of Additional Pages.